

COMMITTED TO CONTINUOUSLY IMPROVING SURFACE SAFETY.

National Runway Safety Plan

2021-2023



Federal Aviation Administration

Executive Summary



Aviation lifts our eyes to the sky for the grace of flight, and every successful flight begins and finishes on the surface. That's where we focus our attention for the National Runway Safety Plan 2021-2023.

This strategic plan not only presents the Runway Safety Group's overall framework and mission for the next three years, but also serves as a reaffirmation of our overall commitment to reducing the severity of surface risk and continuously improving runway safety.

The recent incorporation of Aviation Risk Identification and Assessment (ARIA) into the Runway Safety Program will provide safety experts valuable insight for identifying risk, irrespective of compliance. This will enable us to make smarter, risk-based, data-driven decisions.

Insight and good data help move forward Runway Safety's outreach initiatives around proficiency and continuous education, and training controllers, pilots, and vehicle drivers. Allocating importance to outreach at both local and national levels ensures we are well-trained to identify and mitigate risk associated with operations in the movement area of our airports.

As a key stakeholder in the National Airspace System (NAS), Runway Safety shares our best practices and lessons learned by applying our Safety Management principals to a large international audience to improve surface safety worldwide.

The dedication of so many people to the success of the Runway Safety Program at our nation's airports, energizes and strengthens the collective effort and shared responsibilities described in our strategic safety initiatives. The Runway Safety Program is grateful for the ongoing cooperation of Air Traffic Services, Flight Standards Service, the Office of Airports, and Technical Operations.

Together, we are reducing surface risk by identifying and addressing local and systemic issues, thereby enhancing our safety initiatives throughout the NAS.

Giovanni Dipierro ATO Safety and Technical Training Manager | Runway Safety Group

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Overview

Reducing the risk of runway safety in the National Airspace System is a top priority of the FAA. The National Runway Safety Plan (NRSP) 2021-2023 aligns the strategic priorities of the FAA's Runway Safety Program with the established principles of the Air Traffic Organization (ATO) Safety Management System (SMS). The NRSP 2021-2023 describes how the FAA, airport operators, and aviation industry stakeholders collaborate, using data-driven, risk-based decision making, to enhance safety performance in the surface environment and the NAS. The surface environment includes runway surfaces, taxiway surfaces and the associated protected areas.

The four principles of the ATO SMS, Safety Policy, Safety Risk Management, Safety Assurance and Safety Promotion, provide a strong foundation for managing surface safety risk. The Runway Safety Program continues to build upon that foundation, in the evolution from a compliance-based safety assurance methodology to Risk Based Safety Management (RBSM).

The incorporation of the Aviation Risk Identification and Assessment (ARIA) surface module is a further step in RBSM that will change the way we look at risk throughout the NAS. In Runway Safety, ARIA will guide our use of resources to make smarter, risk-based, datadriven decisions. It will influence the way we identify potential safety issues, as well as our activities to reevaluate existing policies and procedures.

This plan also outlines the structure of the Runway Safety Program, from the ATO Safety and Technical Training, Runway Safety Group to the Runway Safety Council (RSC) to external oversight organizations such as the National Transportation Safety Board (NTSB), U.S. Government Accountability Office (GAO), and the Department of Transportation (DOT) Office of Inspector General (OIG). These groups work together to identify and mitigate surface risk through the SMS process: data collection and analysis, policy and planning, and communication and education.

The NRSP 2021-2023 sets forth the strategic and tactical initiatives of the Runway Safety Program for the next three years. They include focused activities to enhance existing technology while researching and developing the next generation of surface surveillance technology. In addition to education and outreach to air traffic controllers, pilots, and airfield drivers. Together, all of these will extend the reach of the FAA's Runway Safety Program beyond the NAS, to strengthen runway safety throughout the world.

Supplemental documents and materials can be found on the FAA Runway Safety Website.

The four components of the ATO SMS combine to create a systemic approach to managing and ensuring safety.

Figure 1. The Integrated Components of the ATO SMS

SMS Manuals

Safety Oversight Safety Workshop

> Lessons Learned

Identity

Hazar

Voluntar

Safety

Safety Risk

Develop, distribute and promote educational materials, best practices, lessons learned and actionable information acquired through data collection and analysis to national and global stakeholders.

Builds on Safety Risk Management (SRM) efforts by collecting and assessing data to monitor compliance, assess the performance of safety measures, and identity safety trends. The Safety Assurance component of the Safety Management System encompasses all of the Air Traffic Organization (ATO) processes and programs that survey the National Airspace System (NAS). These processes and programs can lead to the discovery of previously unidentified existing hazards and/ or risk controls that are outdated or no longer effective.





Safety Policy

REGULATIONS AND GUIDELINES

Objective: Document and uphold the policies, procedures, and guidelines necessary to ensure an effective, collaborative and adaptable safety culture.

The Runway Safety Program advocates six key elements of an effective safety culture. They are responsibility, accountability, clear expectations, leadership, organizational buy-in, and continuous improvement. These elements harmonize with the principles of the ATO SMS to uphold and strengthen policy and regulations that govern the Runway Safety Program.

The ATO SMS is "an integrated collection of principles, policies, processes, procedures, and programs, used to identify, analyze, assess, manage, and monitor safety risk in the provision of air traffic management and communication, navigation, and surveillance services." The ATO SMS promotes adhering to existing policy and regulation while continuously assessing the need for change.

These practices are applied in our standard of involving stakeholders to define, motivate and guide runway safety though out the NAS as well as globally. Figure 2 provides an overview of runway safety stakeholders and policy.

How does this work?

Executive leadership from each of the stakeholder organizations collectively form the RSC. In October 2008, then acting FAA Administrator, Robert Sturgell, kicked off the inaugural RSC meeting. The FAA convened the RSC to fundamentally change the existing safety culture



and move toward a systemic pro-active management policy that involved cooperation throughout the FAA, other segments of the federal government and the aviation industry.

Today the RSC is administered by the ATO Safety and Technical Training, Safety Directorate. FAA Order 7050.1 Runway Safety Program defines the RSC as the "primary agent for initiating processes to monitor and address runway safety performance in the NAS." The RSC does this by conducting and/or supporting monitoring activities designed to point to where existing policies and safety measures are effective and where an unacceptable level of risk still exists.

When the RSC determines further action is required, they will assign the activity to the Surface Safety Group (SSG), a cross functional working group comprised of representatives from the RSC member organizations. The SSG will take on the task and bring recommendations for enhancements to existing policy back to the RSC.

To continuously improve the safety of the NAS, the ATO conducts safety audits and assessments to determine whether the NAS is performing as expected. To evaluate how a new element may impact the performance of the NAS, the ATO convenes a Safety Risk Management (SRM) panel. Using the principles of the SMS, the SRM panel conducts a safety hazard analysis to identify any risks that the new element may introduce and the level of the potential hazard. In this way, potential risk can be addressed prior to introducing them into the NAS. When an SRM panel documents a safety requirement related to surface safety, the RSC will assign it to the SSG as appropriate for resolution. [visit for tweaking]

In addition, it is necessary for the Runway Safety Program to take into consideration the recommendations from external government oversight organizations such as the DOT OIG, GAO, and NTSB. When we receive such recommendations, they are added to the SSG Safety Recommendation Portfolio and addressed through that process.

Initiatives:

- 1. Improve external communication between air traffic managers and airport operators about terminal area incidents by requiring air traffic control managers to share information on terminal area incidents, such as operational incidents and pilot deviations, with airport operators in a timely manner. This will help airport operators identify and implement needed mitigations more quickly. (GAO Audit Report 19-639)
- 2. Assess existing runway safety program policies, documented in FAA Order 7050.1 Runway Safety Program, to identify where improvements can be made such as reducing ambiguity, emphasizing risk-based safety management versus compliance-only, distinguishing the role of the Runway Safety Council and leveraging the contributions of Runway Safety Action Teams.
- 3. Provide standardized symbology and verbiage for existing hot spots used for navigating the airport environment. Hot spots offer a visual aid in reducing risk and providing mitigation for confusing areas on the airport surface. There are two types of hot spots: ground movement hot spots and wrong surface hot spots.
- 4. A hot spot is a runway safety related problem area on an airport presenting increased risk during surface operations. Typically, it is a complex or confusing intersection. The area of increased risk has either a history of, or a potential risk for, runway incursions. Hot spots are indicated on airport diagrams using select symbols. They increase situational awareness by bringing attention to the problem area for pilots, airfield drivers, and air traffic controllers. Implementing standard criteria and processes for designating and eliminating hot spots provides consistency at airports across the NAS.
- 5. Used in conjunction with the hot spots on airport diagrams, the Arrival Alert Notice adds to a pilot's situational awareness by providing an aerial view of the runway ends of an airport and includes a brief description of the potential reasons causing the runway confusion. This visual graphic is for use during preflight planning and not for navigational purposes.



- Add a new paragraph to J07210.632A, Air Traffic Organization Occurrence Reporting on requiring timely sharing of surface events with airport operators.
 COMPLETED 10/1/20
- 2. Sign into effect FAA Order 7050.1 Runway Safety Program (as amended).
- 3. Replace existing non-standard hot spot symbols with standard symbols on airport diagrams.
- 4. Replace current wrong surface arrival symbol to standard cylinder symbol on the airport diagram for the 11 airports with existing designated wrong surface arrival hot spots, in collaboration with the Airport Mapping Aeronautical Charting Group.
- 5. Develop Arrival Alert Notices for wrong surface arrival hot spots for the 11 airports with existing designated wrong surface arrival hot spots.



Figure 3. Risk-Based Safety Management

RISK-BASED SAFETY MANAGEMENT (RSBM)

Approach

Mitigation efforts based on aggregated data that identifies and validates risk in the system

Comparison

- Addresses compliant and non-compliant operations only
- Confirms current risk, validates suspected risk, and identifies unknown risk across the NAS through tools, such as ARIA, using comprehensive data analysis algorithms
- Focuses on a systemic view of the operation to identify risk before it leads to an event
- Empowers personnel to seek out and mitigate risk
- Categorizes and prioritizes potential risk based on projected severity and probability
- Mitigates potential risk through training, policy, procedure, or equipment changes

COMPLIANCE-BASED SAFETY ASSURANCE

Identification and mitigation efforts based on rule violations in individual events

- Addresses non-compliant operations only
- Identifies risk though the analysis of noncompliant events
- Focuses on outcomes of non-compliant events
- Involves analysis of past outcomes or events
- Identifies unsafe acts by operational personnel
- Mitigates potential risk through training, policy, procedures, or equipment changes



Safety Risk Management

MITIGATION PLAN DEVELOPMENT AND IMPLEMENTATION

Objective: Continually monitor the level of surface risk in the NAS and assess the need for new and/or revised mitigations.

Additionally, enhancements to air traffic procedures, phraseology and systems provide controllers with better tools to keep aircraft safely separated on runways and taxiways. The ARIA tool's risk-based safety management approach will provide additional insight into the policies and procedures involved in surface safety. Finally, collaboration with stakeholders is vital in Runway Safety's efforts to effectively reduce surface event risk. This is done by implementing improvements and mitigations in a collective manner throughout the organization through the Surface Safety Group and Runway Safety Action Teams. Regional-level initiatives and mitigations are put forth and tracked in nine Regional Runway Safety Plans. Regional-level initiatives and mitigations are put forth and tracked in nine Regional Runway Safety Plans.

Ensuring the safety and integrity of the runway environment remains one of the FAA's highest priorities. The Runway Safety Program reinforces this priority through robust safety risk management. The continuing evolution from a compliance-based safety approach to RBSM, enables early recognition of potential areas of risk through data analysis. This predictive capability results in identifying and addressing risk prior to it developing into a dangerous situation. The FAA risk management strategy includes training, education, and awareness initiatives via structured programs, refresher courses, printed materials, online products, trade, and industry journal articles to maintain runway safety as a top-of-mind priority for pilots, air traffic controllers, and airport personnel. An example of this strategy is the delivery of Special Focus RSAT (SFRSAT) meetings at airports with high rates of wrong surface and runway events, where lines of business work collaboratively with local stakeholders in the development of mitigations and best practices. Proper airport geometry design and technological initiatives also offer tremendous promise for the improvement of runway safety and include such devices as runway status lights and cockpit moving map displays. Runway Safety aims to implement a plan to assess the effectiveness of all of FAA's terminal areasafety efforts, including Airport Surface Detection Equipment, Model X (ASDE-X) and the Runway Safety Program GAO 19-639 recommendation. For FY21, Runway Safety expects to complete the implementation of ASDE-X Taxiway Arrival Prediction (ATAP) technology at ASDE-X Airports, and at Airport Surface Surveillance Capability (ASSC) airports. Technological initiatives for this fiscal year also include the Runway Incursion Prevention through Situational Awareness (RIPSA) system and a runway excursion mitigation plan.

SSG. RSAT AND RRSP

Surface Safety Group (SSG)

The SSG is a workgroup of subject matter experts from across FAA lines of business and aviation industry. It convenes on a quarterly basis to develop national surface safety strategies and mitigations. SSG members work collaboratively to discuss and review surface safety initiatives established by the group and through the safety risk management process. All initiatives are documented for accountability in the Surface Safety Group (SSG) Portfolio of Safety Recommendations. [Appendix A.]

Runway Safety Action Team (RSAT)

Runway Safety Action Teams bring local airport stakeholders together at least once a year to identify risks to surface safety at that airport and develop plans to mitigate or eliminate those risks. RSATs provide the foundation of the Runway Safety Program at individual airports. The RSAT meetings are the primary forum for pinpointing and addressing airport-specific risk in the surface environment. The product of a RSAT meeting is a Runway Safety Action Plan (RSAP) in which the stakeholders document and agree to pursue specific actions intended to improve surface safety.

Regional Runway Safety Plans (RRSP)

Similar to the NRSP, there is a Regional Runway Safety Plan (RRSP) for each of the nine regions that provides a roadmap with emphasis on regional efforts in support of the NRSP initiatives. RRSP action items are developed locally at quarterly Regional Runway Safety Team (RRST) meetings. These action items are then approved at quarterly Regional Governance Council meetings. Visit the FAA Runway Safety Website to review the 9 Regional Runway Safety Plans.

- **ANE** New England
- AEA Eastern
- ASO Southern
- AGL Great Lakes
- ACE Central
- **ASW** Southwest
- **ANM** Northwest Mountain
- **AWP** Western Pacific
- AAL Alaskan

Figure 5: Risk-Based Safety Management (RBSM)

WHAT IS RBSM?

Risk-based safety management will provide the ATO with greater capability to understand:

- **1**. The effectiveness of safety barriers
- 2. The safety barriers, under specific conditions, that are most likely to protect from the next accident, serious incident, or safety occurrence
- 3. The actions necessary to mitigate risk and strengthen safety barriers
- 4. The effectiveness of mitigations

Why Now?

The reality is compliance alone cannot assure safe operations. We have to look beyond what was done right or wrong to cause individual events, and focus on how we can improve safety across the NAS through the collection of comprehensive risk-based data.

Understanding the risk picture of normal operations within the NAS provides the ATO with an effective means of assuring a risk-based safety management system.

Benefits of RBSM

By equipping and empowering stakeholders to identify and mitigate risk in the NAS through the SMS, things like risk analysis, corrective action plans, and targeted training will improve the ATO's safety performance, making safety everyone's responsibility.

Figure 4: SSG, RSAT and RRSP



HUMAN FACTORS •

HAZARD IDENTIFICATION



How does ARIA Work?

The Aviation Risk Identification and Assessment (ARIA) airborne algorithm utilizes radar track data and analyzes every encounter where aircraft in flight come within 8.33 nautical miles of each other, regardless of altitude or compliance. Every 2.5 seconds, the system recalculates a value for each encounter based on current proximity, projected closest proximity, and rate of closure. Each encounter is then given a score, based on those components. With this data, safety experts can focus on validated risk, and through a deep-dive barrier analysis review, provide decision-makers the level of that risk, why it exists, its frequency, and its severity. This further facilitates RBSM by enabling safety experts to work with stakeholders to identify the root causes, develop mitigations, and measure their effectiveness. Future modules currently under development include surface and Controlled Flight into Terrain (CFIT). As a tool to be utilized in the transition to RBSM, additional modules will also be developed as need arises.

Benefits of ARIA

- Enables a better understanding Applies the same encounter of the NAS, the operation, and the potential risk in the operation
- Facilitates the review process by providing a potential risk estimate for all detected aviation risk encounters
- Focuses Quality Assurance processes on those areas of highest potential risk in the NAS
- detection algorithm and potential risk assessment standard throughout the entire NAS
- Improves operational agility by focusing safety personnel

Initiatives:

- 1. Promote runway safety initiatives that leverage enhancing existing technology rather than the cost of full-on development and acquisition.
- 2. Continue to collaborate across the FAA and other government and industry aviation stakeholders to identify and assess surface safety risk in the NAS. Develop strategies, based on the data, to address the areas where is improvement is needed.



Figure 6: Special Focus Runway Safety Action Team (SFRSAT)

Special Focus Runway Safety Action Team (SFRSAT) meetings drive an engaging discussion on the factors that are contributing to elevated numbers of runway incursions, wrong surface events, or other types of high-risk events at each airport, assess if current local mitigations are adequate, and are a forum to develop recommendations and solutions. Safety professionals from all major airport interests are invited to attend, with presentations from the FAA Runway Safety Group and local teams.

Milestones:

- 1. Conduct nine Special Focus RSAT (SFRSAT) meetings at specified airports with elevated rates of wrong surface and runway incursions to develop best practices and propose mitigations. Runway Safety will subsequently monitor the effectiveness of the RSAT by tracking runway incursion rates and wrong surface operations. Delivery of the SFRSAT is to be completed in partnership with the Airport District Office, Flight Standards Service, and the FAA Safety Team (FAASTeam). (FY 2021) COMPLETED 9/2021
- 2. Complete implementation of ASDE-X Taxiway Arrival Prediction (ATAP) at ASDE-X Airports.
- 3. Begin the implementation of ATAP at Airport Surface Surveillance Capability (ASSC) Airports.
- 4. Runway Excursion mitigation plan COMPLETED 9/2021
- 5. Based on current data analysis, develop and promote outreach and education products to address the risks.



Safety Assurance

Data Collection, Analysis and Assessment

Objective: Apply a data-driven approach to continually evaluate the effectiveness of risk mitigation strategies and controls and pro-actively identify increasing and/or new hazards.

Safety assurance is the means to demonstrate that providing a more accurate picture of the risk present organizational arrangements and processes for safety in the NAS. achievement are properly applied and continue to achieve their intended objectives. We focus on safety The RSC promotes applying the SSM across the agency performance monitoring and measuring, managing as a critical indicator to determine how surface safety change and continuous improvement. Safety Assurance risk is trending and where additional focus is needed. has to be dynamic. It cannot be just checking off a box. In a joint-venture with the Runway Safety Group, the RSC Historically, the FAA evaluated runway safety by focusing integrates efforts across the agency, other government on the number and severity of runway incursions; and stakeholders, and the FAA's industry partners, to moniwhile there is benefit in knowing rates and statistics, tor and assess the performance of mitigation tools and those numbers do not tell the full story. tactics leading to well-informed, risk-based decision making and management.

The goal is to use data to assess the risk present in the NAS. Determining the impact of Runway Safety Program **Aviation Risk Identification** initiatives depends on complete, reliable data. Taking and Assessment (ARIA) surface safety to the next level involves identifying un-Data collection through the ARIA surface module will derlying systemic risk, and determining if existing barprovide better insight into potential surface safety risk riers are adequate. And, if not, understanding why not. in the NAS and further the transition from compliance-Understanding is key to introducing additional or new barriers to address the risk before it causes an accident or incident. It is important to ensure that the data used in the identification of risk and mitigations are based on Safety Assurance has to accurate data.

Surface Safety Metric (SSM)

The Surface Safety Metric (SSM), implemented in October 2019, goes beyond traditional runway incursion data analysis, focused on the number of events. The SSM was developed to measure the safety performance of the NAS in the surface environment. It incorporates all relevant types of events that can oocur in the surface environment including runway collisions, runway incursions, runway excursion accidents, runway excursion incidents, surface collisions and surface incidents. By incorporating every type of runway safety event, the SSM more accurately reflects the overall safety of the surface environment in the NAS.

In addition, the SSM includes more data sources than ever before, such as NTSB data and data from the Aviation System Information Analysis and Sharing (ASIAS) system. As a result, the SSM is able to point to where the risk exists and to quantify the risk objectively;

be dynamic. It cannot be just checking off a box.





Initiatives:

- 1. Provide better insight into potential surface safety risk in the NAS in support of the transition from compliance-based to Risk Based Safety Management (RSBM), through the implementation of the Aviation Risk Identification and Assessment (ARIA) surface module
- 2. In partnership with the RSC, continue collecting data to monitor and assess existing mitigation efforts relative to the level of risk in the NAS as measured by the SSM. Leverage the RSC guarterly meetings to widely communicate findings and explore recommended solutions.
- 3. Investigate the trend of wrong direction intersection departures to identify and understand the factors involved. Develop a process to analyze where and under what circumstances wrong direction intersection departures pose a risk. Develop a strategy to mitigate the risk(s) as indicated by the analysis.

Milestones:

- 1. Roll-out ARIA surface module. (FY 2021)
- 2. Maintain the weighted Commercial Surface Safety Risk Index at or below 0.35 per million airport operations for commercial aviation. (FY 2021)
- 3. Maintain the weighted Non-Commercial Surface Safety Risk Index at or below 0.60 per million airport operations for noncommercial aviation. (FY 2021)
- 4. Recategorize wrong surface events for better accuracy of the violation. COMPLETED 8/2021
- 5. Develop a plan to collect data and monitor the impact of the pending new wrong surface arrival standard cylinder shape on the airport diagram of the 11 airports with existing designated wrong surface hot spots
- 6. Improve the process to identify and remove duplicate excursion records from the SSM. COMPLETED 9/2021



Safety Promotion

Outreach and Education

Objective: Continuously communicate, advocate and educate.

A crucial step in runway safety mitigation efforts is for practices to assist controllers prevent wrong surface local airport stakeholders to discuss risk and what is landings and other high risk safety events on and being done. Communication connects data analysis to around airports. policy and policy to stakeholders, which include pilots, air traffic controllers, and airfield drivers. Enhanced safety Promotional activities and products that examine requires effective communication to those most affected problems at specific airports have proven to be effective by surface events to "Increasing safety requires effective in the promotion of local issues. These products provide communication between the operators and the users. broad-level instructional tools to front line audiences such as pilots, controllers, and airfield drivers.

The Runway Safety Program works relentlessly to We use multiple outreach methods to reduce risk of surface events. Runway Safety collaborates to create educational products, social media engagement, airport-specific and topic-focused videos, and animations of actual events. Outreach efforts also include participation at in-person events by hosting exhibitions at Communicating for Safety (CFS) and participation at annual air shows, annual stakeholder conferences and conventions, technology symposiums, and virtual forums such as the National Association of Flight Instructors (NAFI) MentorLIVE broadcasts.

promote changes made nationally to runway safety performance measures. We have also focused strategic communication products to provide local runway information and guidance obtained from data analysis. One product is the Runway Safety Action Team (RSAT) Web Tool, which Runway Safety is continuously enhancing to ensure that each team meets, or exceeds, the program's standard requirements. The RSAT Web Tool brings local ATC facilities better data to support local RSAT meetings by displaying the approximate location and summary of the runway incursions at each airport. Runway Safety continues to field tools and look for best



Initiatives:

- 1. Enhance the RSAT Web Tool to ensure each team meets, or exceeds, the RSAT standard requirements.
- 2. Reduce risk of surface events through outreach and education promoted via social media engagement, video products, and an animation library based on actual events.
- 3. Conduct outreach to stakeholders through presentations in events such as NAFI MentorLIVE broadcasts.



- Conduct, at a minimum, one RSAT meeting at each towered airport using the RSAT Web Tool.
 COMPLETED
- 2. Develop, distribute, and publicly promote 60 airport-specific FAA "From the Flight Deck" series videos. (FY 2022)
- 3. Develop, distribute, and promote a "From the Flight Deck" single topic video on hot spot standardization.
- 4. Produce a quarterly animation to be added to the animation library in the Runway Safety Pilot Simulator. (FY 2021)
- 5. Develop a video series specific to airfield drivers. In collaboration with Tech Ops and Safety Services, PASS, Office of Airports, and AOC. (FY 2021)
- 6. Collaborate with AOC on a series of airfield driver articles of seasonal or timely relevance, such as winter operations or airport construction.
- 7. Conduct Hot Spot and Arrival Alert Notice widespread outreach and education through AOC, Agency and Industry partners.
- 8. Carry out promotion to create awareness among pilots on the addition of Arrival Alert Notices.

Partnering with Flight Standards Service

The Runway Safety Group works closely with Flight Standards and the FAA on improving outreach and promotional efforts through a variety of practices. A recent example of this collaboration is the introduction of the Runway Safety Pilot Simulator as an official FAASTeam WINGS course, a proficiency program that allows pilots to earn credits while improving their aviation skills and knowledge through a number of initiatives.

Runway Safety and the FAASTeam partner together to promote aviation safety through the Safety Program Airmen Notification System (SPANS), FAAST Blast email updates, as well as other methods of mass communication to improve the level of runway safety awareness and enable our surface safety mission. This collaborative relationship continues to grow.







Runway Safety **Technology Roadmap**

Technology Moving Forward

Over the next years, Runway Safety will focus on implementing technology solutions to specifically target the small to mid-size towered airports that currently have no surface surveillance system. The goal of this "right site-right size" approach is to deploy lower-cost surface safety capabilities intended for smaller airports. This method creates systems that are affordable in which safety benefits are not outweighed by hefty price tags. In addition, the Runway Safety Group will continue to promote runway safety enhancement initiatives that leverage existing technology, rather than the added cost of full-on development.



Runway Incursion Mitigation (RIM) Program

This program's management of high-risk areas underscores the FAA's mission to provide the safest, most efficient aerospace system in the world.

The Runway Incursion Mitigation (RIM) program is built 15 runway incursions between 2008 and 2014 at a RIM on more than ten years' worth of runway incursion data. location that was also a designated hot spot. With FAA Over 13,500 runway incursions, and 6,500 non-standard support, that airport addressed geocodes including runway/ taxiway intersections at more than 500 airports #6: Two runway thresholds in close proximity and #18: have been georeferenced and assessed by the FAA and Unexpected holding position marking along a taxiway. incorporated into a Geographic Information System (GIS) After completing the projects necessary to meet Database that, when applicable, associate incursions current standards, the area's hot spot was removed, with non-standard geometry sites and known hot spots. and no runway incursions have occurred there since its mitigation. Its configuration before and after are pictured The RIM Program made significant progress since below.

launching in 2015. As of May 2021, 67 RIM locations implemented site-specific enhancements including taxiway reconfigurations and changes to lighting, markings, and aircraft operations. Table 1 shows the most recent mitigation projects completed in FY19 and FY21 to-date. Airport planners and designers rely on FAA's RIM mitigation guidance materials and support from FAA Regional program contacts.

The array of completed mitigation projects at U.S. airports illustrate the success of taxiway design element guidance paired with expertise and support from stakeholders. One airport, for instance, had experienced

Number of mitigated RIM locations by









Table: Mitigated RIM Locations

AIRPORT NAME	RIM LOCATION DESCRIPTION	MITIGATION TYPE	DATE COMPLETED
Long Beach Airport/Daugherty Field, CA	Intersection of TWYs B, D, K & RWs 8L/26R and 12/30	Taxiway/Runway Geometry Reconfiguration	12/29/2020
Cleveland Hopkins International Airport, OH	Five-point intersection of TWYs R, L, A, & RWY 6R/24L	Taxiway/Runway Geometry Reconfiguration	11/2/2020
Des Moines International Airport, IA	Intersection of RWY 13/31 & TWY P	Taxiway/Runway Geometry Reconfiguration	10/30/2020
Merrill Field Airport, AK	RWY 7/25 / TWY C Intersection	Taxiway/Runway Geometry Reconfiguration	10/5/2020
Long Beach Airport, CA	TWYs J & D / RWYs 8R/26L & 12/30 Intersection	Taxiway/Runway Geometry Reconfiguration	9/15/2020
Conroe-North Houston Regional Airport, TX	Intersection of RWY 14/32 & TWY J	Signage, Marking, and/or Lighting	9/14/2020
Reno Tahoe International Airport, NV	RWY 34L Approach End	Taxiway/Runway Geometry Reconfiguration	9/11/20
Flying Cloud Airport, MN	Hold position bar for RWY 10L/28R on TWY C (north of RWY)	Taxiway/Runway Geometry Reconfiguration	8/27/2020
Crystal Airport, MN	TWY E4 between RWY 14R & 14L	Taxiway/Runway Geometry Reconfiguration	8/25/2020
Juneau International Airport, AK	RWY 8/26 / TWY D Intersection	Taxiway/Runway Geometry Reconfiguration	8/5/2020
Phoenix-Mesa-Gateway Airport, AZ	TWY V / TWY B / TWY K / RWY 12R Intersection	Taxiway/Runway Geometry Reconfiguration	7/16/2020
Reno Tahoe International Airport, NV	Intersection of TWY L & RWY 16L/34R & TWY C & RWY 7/25	Taxiway/Runway Geometry Reconfiguration	7/11/2020
Chicago O'Hare International Airport, IL	TWY T / TWY SS / Approach Path RWY 9R	Taxiway/Runway Geometry Reconfiguration	6/1/2020
Hartsfield Jackson Atlanta International Airport, GA	RWY 8R/26L / TWY C, D Intersections	Operational/Procedural	5/29/2020
Hartsfield Jackson Atlanta International Airport, GA	RWY 9L/27R / TWY D Intersection, south side	Operational/Procedural	5/29/2020
Orlando Executive Airport, FL	RWY 7 / TWY E4 Intersection	Taxiway/Runway Geometry Reconfiguration	5/6/2020
DeKalb Peachtree Airport, GA	RWY 3L / TWY A Intersection	Signage, Marking, and/or Lighting	12/31/2019
DeKalb Peachtree Airport, GA	RWY 21R / TWY G Intersection	Signage, Marking, and/or Lighting	12/31/2019
Palo Alto Airport, CA		Signage, Marking, and/or Lighting	12/31/2019
Teterboro Airport, NJ	Intersection of TWY L & RWY 6/24	Taxiway/Runway Geometry Reconfiguration	11/22/2019
Addison Airport, TX	TWY A entrance to Approach End of RWY 15	Operational/Procedural	11/11/2019
Addison Airport, TX	RWY 15/33 / TWY G Intersection	Operational/Procedural	11/11/2019
Addison Airport, TX	RWY 15-33 / TWY C Intersection	Operational/Procedural	11/11/2019
Dallas Love Field Airport, TX	RWY 13/31R / TWY B5 Intersection	Taxiway/Runway Geometry Reconfiguration	10/31/2019
Manchester Airport, NH	Hold short bars on TWYs P & U at intersection with approach end of RWY 35	Taxiway/Runway Geometry Reconfiguration	9/24/2019
Fulton County Airport – Brown Field, GA	Intersection of RWY 8/26 and TWY K	Taxiway/Runway Geometry Reconfiguration	9/1/2019
Miami International Airport, FL	RWY 9/27 / TWY T8 Intersection	Taxiway/Runway Geometry Reconfiguration	8/16/2019
Sarasota/Bradenton International Airport, FL	Intersections of RWYs 4/22, 14/32, & TWYs A, B, C,and D	Taxiway/Runway Geometric Reconfiguration, Signage, Marking, and/or Lighting Change(s), Technological Enhancements	8/8/2019
Smyrna Airport, TN	Smyrna Airport, TN Convergence of TWYs B, C, & D at the approach end of RWY 19		5/29/2019
Phoenix Deer Valley Airport, AZ	Phoenix Deer Valley Airport, AZ Hold short bar at intersection of TWY A4 and approach end of RWY 7L 7L		5/7/2019
Bowman Field Airport, KY	Bowman Field Airport, KY Hold bar on TWY J at the intersection with RWY 6/24		5/1/2019
Van Nuys Airport, CA	Intersection of TWY C/B and approach end of RWY 16L	Signage, Marking, and/or Lighting	3/31/2019
Miami Executive Airport, FL	Hold bar on TWY A at approach end of RWY 9L	Taxiway/Runway Geometry Reconfiguration; Signage, Marking, and/or Lighting Change(s)	3/29/2019
Midland International Air and Space Port Airport, TX	Hold bar on TWY A at approach end of RWY 10	Taxiway/Runway Geometry Reconfiguration	1/1/2019
Miami International Airport, FL	Intersection of RWY 8R/26L & TWY M5	Taxiway/Runway Geometry Reconfiguration; Signage, Marking, and/or Lighting	11/16/2018
Orlando Sanford International Airport, FL	Hold short bar on RWY 18/36 south of RWY 9R	Taxiway/Runway Geometry Reconfiguration	10/15/2018
Orlando Sanford International Airport, FL	TWY R under approach path for RWY 9R	Taxiway/Runway Geometry Reconfiguration	10/15/2018
Long Beach Airport (Daugherty Field), CA	TWY R under approach path for RWY 9R	Taxiway/Runway Geometry Reconfiguration	10/15/2018

The RIM Program made significant progress since launching in 2015. As of May 2021, 67 RIM locations implemented site-specific enhancements including taxiway reconfigurations and changes to lighting, markings, and aircraft operations. Table 1 shows the most recent mitigation projects completed in FY19 and FY21 to-date. Airport planners and designers rely on FAA's RIM mitigation guidance materials and support from FAA Regional program contacts.

International Leadership

Runway Safety shares our best practices and lessons learned by applying our Safety Management principals to a large international audience to improve surface safety worldwide.

Through international engagement, FAA increases the safety, efficiency, and environmental sustainability of the global aviation system. The FAA's runway safety strategy aims to reduce surface risk by promoting international connectivity and working with foreign and multinational partners to harmonize procedures and ensure interoperability.

As a global leader in runway safety efforts, the FAA assumes the agency's responsibility with the international aviation community to share safety initiatives and lessons learned to reduce runway safety risk around the world.

Runway Incursion Prevention (RIP) Course

The Runway Incursion Prevention Course delivers RIP In March 2021, the RSG presented a virtual RSAT training to participants on the identification of contributory Meeting to the IE-REST Member States, highlighting the factors of runway incursions, standardization of methods FAA's runway safety practices, collaborative efforts with in identifying and collecting runway incursion event various lines of business and industry stakeholders, and data, the identification of human factors that affect air just safety culture. traffic controllers and preventative measures to help controllers counteract the adverse effects of human The RSG expects to continue its engagement and sharfactors in runway safety, among other course objectives. ing of best practices with the ICAO European Region

The RIP course is expected to be delivered to participants in Singapore and in several Central American countries to include Costa Rica, Honduras, and Guatemala. This multi-day course provides a best practice for runway incursion prevention from different airport stakeholders providers, and flight crews.

In past years, the Runway Safety Group has been to include airport operator, air navigation service actively involved with runway safety workshops in the ICAO African Region. Presently, the RSG is participating in discussions with the FAA AJV-International group and the FAA Senior Representative for Africa and the International Civil Aviation Organization (ICAO) Sub-Saharan region to present a virtual RSAT Meeting EUR Regional Expert Safety Team (IE-REST) to ICAO African states, highlighting the FAA's run-The IE-REST was created to support the development way safety practices, collaborative efforts with various and implementation of safety enhancement initiatives lines of business and industry stakeholders, and just and activities in the ICAO European region. The FAA has safety culture. There has also been discussion around been a participant in this meeting since its inception in continuous RSG participation in the further development 2013. and presentation of runway safety workshops in the African region.

In a virtual IE-REST forum held in September 2020, the Runway Safety Group conducted a presentation on the Runway Safety Action Team (RSAT) Web Tool and "Wrong Direction Intersection Departures During the COVID-19 Pandemic."

through IE-REST, which has recently changed its name to Regional Expert Safety Group (RESG).

Runway Safety Workshops

in the ICAO African Region

Appendices:

Appendix A: Surface Safety Group Portfolio of SMS Safety [Click the link below to review Appendix A]

SSG Portfolio of SMS Safety

Appendix B: Surface Safety Group Surface Safety Initiatives Team Tracker

Initiative	Lead Organization(s) and POC	POC Email Address		
Runway Guard Lights (RGL) to increase situational awareness for pilots and vehicle drivers	SSG SSIT: Caroline Gillis	caroline.gillis@dot.gov		
Small Airport Surface Surveillance (SASS)	MIT Lincoln Lab/NextGen ANG-C52: Rob Higginbotham	robert.higginbotham@faa.gov		
Runway Incursion Prevention through Situational Awareness (RIPSA)	NextGen ANG-C52: John Dutton	john.dutton@faa.gov		
Surface Taxi Conformance Monitoring (STCM)	MITRE/NextGen ANG-C52: Phillip Hays	philip.j.hays@faa.gov		
ADS-B Vehicle Equipage	PMO Surface Surveillance AJM-421: Bill Kaplan	william.kaplan@faa.gov		
ASDE-X Taxiway Arrival Prediction (ATAP) Enhancement	NAS Engineering AJW-148/ATAP Collaborative Work Group: Margit McKee/Bridget Singratanakul	runwaysafety@natca,net (Bridget)		
ASSC Taxiway Arrival Prediction Enhancement	AJW-148/Sensis/PMO Surface Surveillance AJM-421: Bill Kaplan	william.kaplan@faa.gov		
ASSC with ADS-B Only	PMO Surface Surveillance AJM-421: Bill Kaplan	william.kaplan@faa.gov		
Real-time Speech Recognition for Wrong Surface landings	MITRE/Runway Safety Group AJI-141: Scott Proudfoot	scott.r.proudfoot@faa.gov		
Applications of Computer Vision in the Runway Environment	MITRE/Runway Safety Group, AJI-140: Giovanni Dipierro	giovanni.dipierro@faa.gov		
Standard Certified Runway Incursion Device (RID)	AJW-133: Manny Avila/ SSIT: Steve Pinkerton	steven.pinkerton@faa.gov		
STARS Approach Runway Verification (ARV) Enhancement	Terminal Second Level Engineering (TSLE) AJM-2413: Dale Cooley	dale.cooley@faa.gov		
Runway Incursion Mitigation (RIM)	Airports AAS-100: Steve Debban	steven.debban@faa.gov		
Approach/Departure Signage and Markings	Airports AAs-100: Mike Rottinghaus	mike.rottinghaus@faa.gov		
Hot Spot Standardization and Wrong Surface Hot Spots	RSG AJI-140/Airport Mapping, AJV-A242: Christine Madden & Ray German Jr.	christine.madden@faa.gov raymond.german-jr@faa.gov		
Surface Surveillance Sustainment Portfolio	PMO Surveillance Broadcast Services: Bill Kaplan	william.kaplan@faa.gov		
Runway End Identification Lights (REIL)	Western Service Area Runway Safety: Chris Diggons	chris.diggons@faa.gov		



For More Information:

Giovanni Dipierro ATO Safety and Technical Training Manager | Runway Safety Group





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Runway Incursion Safety Issue SRMD

	Surface Safety Group Runway Incursion Safety Issue SRMD V1.0 September 6. 2017								
	Hazard ID: 16-RI-PD	Runway incursion s	Baseline	Adjuste	complete	Closing Criteria	Status		
	Assemble a team to review data to determine the best	DAT and SSIT	Due Date 8/31/2018	Completion ate 180 days after receipt	Date 1/22/21	Complete the analysis of the	In progress.		
	embedded or elevated wig-wag lights or runway hold-short markings) based on the prevalence of hotspots.			(survey data expected January		make recommendations.	complete; finalizing distribution coordination. Plan to leave survey open		
				2022)			for six months. 1/26/2021: The survey questions are still being finalized. However, the distribution and analysis of		
							the surveys isn't included in the current scope of support, requiring additional consideration before proceeding.		
							10/20/2020: New surveys being developed for drivers and pilots, new plan for distributing 7/15/2020: Data		
1							sample was too small; additional surveys pending. 1/14/2019: Aiport Ops		
							survey of 16 airports complete. Vehicle driver survey complete - only received 12 responses. Consider pushing out		
							again? Pilot survey to be released shortly. 10/30/2019 Completion date revised to 180 days after receipt of		
							survey responses.		
1	a. Promote/implement/require RSA enhancers/alerts to emphasize a pilot's entry into the RSA.	Outreach/Promotion	8/31/2018	180 days after Item 1 is complete		TBD	Target completion 180 days after Item 1		
1	b. Consider opportunities for eliminating the crossing of	SSIT	8/31/2018	180 days after Item 1		TBD	Target completion 180 days after Item 1		
-	Implement a continual promotional campaign or "information	Outreach/Promotion	8/31/2018	Complete	7/12/2018		is complete.		
	land without a clearance (e.g., such as SAFO and FAAST Blast). Consider encouraging participation from the industry –								
2	airport management, ATC collaboration at airport user meetings, aviation insurance companies, ALPA, and other air carrier union groups (e.g., NBAA).								
	Review corrective actions recommended by 2015 Call to	HQ RSG	8/31/2018	2026-2029 timeframe		All recommendations closed or	In progress: all but 2 items are either		
	Action and take appropriate action to accomplish.					transferred to the SSG	closed, transferred to SSG or canceled. The two open items are: Human Factors Eatigue Studies related to RIs, and		
3							Evaluate and Deploy right site/right size technology to mitigate RIs (RIPSA); both		
	Encourage all operators to use EEBs with own position	Outreach/Promotion	8/31/2018	N/A	8/21/2018		Closed		
4							FAA Safety Summit August 2018: "Own- ship Position on EFB Applications; "		
							Collins Technology presentations		
	a. Recommend that the EFB software manufacturers include a tutorial for the pilot to complete prior to unlocking the software functionality.	Outreach/Promotion	8/31/2018	N/A	11/28/2018		Closed Alex Gertsen, NBAA, and Nick DeLotell, Flight Standards Service, researched the		
4							industry and FAA training that's available training is adequate. Unlocking to access S/W not feasible		
	b. Recommend that manufacturers develop their systems to	Outreach/Promotion	8/31/2018	N/A	11/28/2018		Closed see 4.b.		
4	integrated EFB and situational awareness—enhancing technologies system performance-based standards.								
4	c. Promote situational awareness by the use of integrated technologies (e.g., Pilot-in-the-Loop) in the cockpit.	Outreach/Promotion	8/31/2018	N/A	11/28/2018		training courses and seminars, on avg every 6 days, where training is EFB		
5	Schedule a Runway Safety Action Team in conjunction with	Outreach/Promotion	8/31/2018	N/A	7/12/2018		On-going activity Closed		
	pilot/controller forums. Use pilot seminars to educate pilots on the four identified causes of pilot–deviation related RI issues. (e.g., EAA, AOPA,	Outreach/Promotion	8/31/2018	N/A	7/12/2018		On-going activity Closed On going activity		
6	FAAST [address both GA and Air Carrier training, re- emphasize Runway Safety ACs 120 74, 91-73, and SAFOs 13007, Using Runways As Taxiways, and 11004, Runway								
	Incursion Prevention Actions Enhance performance of the RSAT through communication	Outreach/Promotion	8/31/2018	N/A	7/12/2018		Closed		
7	and the transfer of information between airport management, Air Traffic, and pilots at towered airport facilities (e.g., recurrent meetings with tenants to discuss RI issues.) (1 +						On going activity		
	years)								
Haz	years) ard ID: 16-RI-OI Use the Take a Stand for Safety campaign to raise awareness	Outreach/Promotion	8/31/2018	N/A	7/12/2018		Closed		
Haz 8	years) ard ID: 16-RI-OI Use the Take a Stand for Safety campaign to raise awareness and address runway safety issues (e.g., RIs, runway flyovers, expectation bias). Emphasize the use of the Memory Aids Tool Box and	Outreach/Promotion Outreach/Promotion	8/31/2018 8/31/2018	N/A	7/12/2018 4/12/2018		Closed On- going activity Closed		
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2015 Call to Action Recommendations

2015 Call to Action Recommendations							
Developed during the MM DD, 2015 Administrator's Call to Action meeting to address runway safety.							
	Visual Recor	nmendations		emploving a "right site/right size approach to address runway safety. hisTrecommendation is pot a duplicate of recommendation Visual #3 (Conveying Taxi Instructions) as suggested by the DOTOIG. Visual			
Initiative	Description	Assigned to	Baseline Target Date	Recommendation # 3 specifically addresses taxi instruction discrepancies, confusion and miscommunication. Visual Recommendation # 6 involves developing prototypes of potentially lower cost technologies to test and evaluate at			
6. Technology Expansion and Utilization 1. Communications	Determine where technology expansion would be most beneficial using a "right site/right size" approach for candidate airports in order to decrease runway incursions. Using available and newly conducted research, conduct human factors studies on the extent that human factors and fatigue impact runway safety events for controllers and pilots.	NextGen Human Performance	9/30/2018 9/28/2018	small to medium-sized airports throughout the National Airspace System (NAS). These emerging technologies address surface surveillance and operator annunciation concepts to reduce the risk of runway incursions at airports without existing ground surveillance and safety systems such as Airport Movement Area Safety System (AMASS), Airport Surface Detection Equipment-Model X (ASDE-X), Airport Surface Surveillance on papability (ASSE), Airport Surface Detection Equipment-Model X (ASDE-X), Airport Surface Surveillance on papability (ASSE) and phumway Status (Bitts (MXC)) as study which was alterative review. Piloting further study on faitgue that can result in something actionable has been problematic. The FAA is evaluating a number of potential technologies and planning to install prototypes at three candidate what we learn from Sgrincent events like the San Fabrics (SDC) (2021). The target date for technology (ransfer to the Acquisition Management System is PY2022. Deployment would begin approximately on event in 1000 (SSG) plans to leverage these efforts and to link fatigue into the assessments. By coordinating these efforts we can achieve a full investigation of runway safety events, from every perspective, in order to develop and implement meaningful mitigation plans. The SSG will monitor and report the progress of this effort as part its Surface Safety Initiatives Work plan. Adjusted TDD: 6/20/2020			
				Recommended Complete to OIG in May 31, 2019 response to OIG Report No. AV2018058 light F Stanuar ds Poole Standards Poole Standards and Accumented the following conclusion in FAA			
2. General Aviation Pilots – Periodic Training on Signs and Markings/ Surface Situational Awareness Working with its stakeholders, most notably the Aircraft Owners and Pilots Association, FAA has developed videos, webcasts, and other awareness campaign materials. According to the Runway Safety Group, these materials <u>will be updated</u> <u>regularly</u> .	Explore amending Federal regulations to require runway safety training matrixes. Develop an awareness campaign aimed at certified flight instructors to use and review an advisor circular an other FAA documents regarding runeway safety elements. Conduct remedial training for runway incursions.	Flight Standards	9/30/2017	Aviation Safety Memorandum for the Record dated October 23: 2018: Complete October 2015 IG has acknowledged as complete. 2017, the FAA has completed the development of the construction graphics. However, the FAA is still unable to			
 Part 1: Conveying Taxi Instructions Part 1: Conduct shortfall analysis <u>Due:</u> September 30, 2017 <u>Complete</u>: November 2016 Part 2: Research and develop technologies, databases, and algorithms that support taxi conformance monitoring efforts. 	Convey audible taxi instructions/alerts electronically using available technology such as GPS in the cockpit.	NextGen	9/30/2019	implement them until the issues with the NOTAM platform manager are resolved. Recommended Complete to OIG in May 31, 2019 response to OIG Report No. AV2018058 That Strict Berge and Anagements of the NOTAM platform manager; but there have been delays.			
4. Outreach and Education Once per year, the Runway Safety Council will analyze surface event data to focus 8, Expand: Graphic:Notices to Airmen (NOTAM)	Using surface event data available to the Runway Safety Group, collaboratively develop โหติอทิจัคย่าซึ่งไประยาณไทยรังคาแรงอุฟาลังวิชังโกไฟฟิลุy for the pilot community.	Runway Safety Group	8/30/2017	quarterly to update the work plan. The FAA proposes closing out this recommendation with the transfer of the initiative to the SSG. OIG has acknowledged as complete. Complete: June 2017			
5. Research/Data Analysis/Post Event Communication With General Aviation	Provide a recurring forum to collaboratively share lessons learned with the ger	nications					
Community Initiative	avistical community. Description	Assigned to	Due date	Canceled Status			
1. Airport Awareness for Pilots and Vehicle Drivers	Develop guidance and awareness for pilots and vehicle drivers when unfamiliar with an airport.		9/30/2018	Complete OIG has acknowledged as complete.			
2. Enhance Operator/Controller Relationships	Schedule familiarization sessions between operators and controllers at Core 30 airports and regional and towered airports.			Canceled			
	Convene an annual Government/industry working group that examines best practices for communicating on airport surface/movement areas. Best practices will be formalized and			Complete 6/23/2016 IG has O			
3. Airport Communications	associated training and familiarization efforts will be implemented.		11/1/2016	acknowledged as complete.			
	Procedures a	nd Awareness	Duradata	flat			
	Description	Assigned to	Due date	Status			
1. Implement Closed Runway Operation Prevention Device (CROPD) Voice							
Recognition Technology	Reduce takeoffs and landings on one runway. Implement one CROPD per service area. Track each instance of CROPD alerts.		9/30/2017	Complete 8/2017 IG has a@knowledged as complete.			
Recognition Technology	Reduce takeoffs and landings on one runway. Implement one CROPD per service area. Track each instance of CROPD alerts. Implement CROPD voice recognition version and continue to develop the CROPD technology by implementing additional requirements.		9/30/2017 9/30/2017	Complete 8/2017 IG has a6knowledged as complete. Recommended Complete to OIG in May 31, 2019 response to OIG Report No. AV2018058 y B validating the CROPD concept, the FAA has recognized the value of voice recognition technology beyond CROPD. While voice recognition for closed runway is moving through the acquisition system, the FAA is researching implementing speech recognition capabilities for En Route, Terminal Radar Approach Control and Air Traffic Control Towers (ATCTs). Although these capabilities are years away, the FAA is doing much more with speech recognition than was originally envisioned. In the interim, the SSG is monitoring the effort as part of its Surface Safety Initiatives Work Plan. The SSG meets quarterly to update the work plan. The FAA proposes closing out this recommendation with the transfer of the initiative to the SSG.			
2. Develop CROPD Technology 3. Advanced Electronic Elight Strins (AFES)	Reduce takeoffs and landings on one runway. Implement one CROPD per service area. Track each instance of CROPD alerts. Implement CROPD voice recognition version and continue to develop the CROPD technology by implementing additional requirements. Evaluate National Airspace System (NAS)-wide implementation of flight strips. FAA installed this technology at the Cleveland Air Traffic Control Tower in September 2015.		9/30/2017 9/30/2017 9/30/2017	Complete 8/2017 IG has a6knowledged as complete. Recommended Complete to OIG in May 31, 2019 response to OIG Report No. AV2018058 y B validating the CROPD concept, the FAA has recognized the value of voice recognition technology beyond CROPD. While voice recognition for closed runway is moving through the acquisition system, the FAA is researching implementing speech recognition capabilities for En Route, Terminal Radar Approach Control and Air Traffic Control Towers (ATCTs). Although these capabilities are years away, the FAA is doing much more with speech recognition than was originally envisioned. In the interim, the SSG is monitoring the effort as part of its Surface Safety Initiatives Work Plan. The SSG meets quarterly to update the work plan. The FAA proposes closing out this recommendation with the transfer of the initiative to the SSG. Recommended Complete to OIG in May 31, 2019 response to OIG Report No. AV2018058 The evaluation at Cleveland ATCT did not result in enough data to conduct meaningful analysis. Electronic Flight Strips will be deployed along with Terminal Flight Data Manager (TFDM). At that time they will be examined through the FAA's Safety Management Process. This will occur around FY2023 (approximately half way through the TFDM waterfall).			
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SRMRA Wrong Surface Landings Report

Safety Recommendation	Item ID	Safety Requirement	Responsible Office	Target Due Date	Adjusted Due Date	Completion Critera	Status
	WSL-SRMRA-01	Modify ASSC to be able to detect/alert taxiway alignment	AJI-14, AJM-232	09/2020	04/2021	Key site initial operations	 In progress. 4/21/2021: ATAP software is now installed and collecting data in the background at the 8 operational ASSC airports. NAS Engineering (AJW-148) will use the data to optimize the alert for each airport. Target is to have alert enabled at all 8 airports by January 2022. 1/26/2021: The ASSC software build that includes Taxiway Arrival Alerting is installed and collecting data in the background at a MSY and CVG. 10/20/2020: ASSC Vendor completed testing in June. The NCP for ASSC ATAP was approved on September 21, 2020. NAS Engineering, AJW-148, will conduct formal software evaluation in October 2020. Deployment and initial operations at the original key site, San Francisco, requires travel to the site by AJW-148 personnel to install prerequisite hardware and software modifications. Due to COVID 19 travel and site restrictions, AJM-4 received approval to substitute another key site where the preequisite system modifications are already installed. New Orleans is the proposed new key site with initial operations in April 2021. 6/18/20: Key site (SFO) target date pushed out to March 2021 due to COVID-19 travel and maintenance moratoriums. 1/14/20: Key Site target date December 2020. 8/1/2019: Taxiway
	WSL-SRMRA-02	Based on results of ASDE-X taxiway enhancement capability testing, extend ASDE-X taxiway alignment capability to all equipped facilities, in collaboration with AJT, NATCA, Service Centers, AJW	AJW-148, AJI-14				 arrival predication alert capability is being developed for Closed The intent of the requirement has been met. Implementation will continue until complete. 2/14/2020: ATAP is enabled at 18 of possible 35 airports: ATL, BDL, BOS, BWI, CLT, DFW, DTW, FLL, IAH, LAX, MCO, MSP, ORD, PHL, SAN, SDF, SEA, and STL. Optimization is in progress at 7 additional airports and there is a plan in place for the remaining 10 airports. 9/27/2019: enabled at 13
Investigate short- and long-term potential of air traffic and cockpit technologies (e.g., ADS-B, remote tower / camera display technology) to allow ATC and pilots to detect alignment problems.	WSL-SRMRA-03	Locally adapt ASSC/ASDE X facilities' "callout box" feature to display extended runway centerline, as appropriate	AJI-14				of possible 35 airports Closed On going effort. 8/1/2019: Document and promote as best practice through Delete the for 0 for the (PED)
	WSL-SRMRA-04	Leverage terminal surveillance systems to detect, display, and/or alert to incorrect runway alignments	AJT-2/AJI-1, AJV-7	10/2020	TBD	Deploy to key site	 Partnership for Safety (PFS). In progress. 04/12/2021: Target OT&E dates - 8/17/21 – 9/23/21. Target keysite deployment (Omaha R90 which includes LNK) November 2021. If all goes well, plan is to deploy to as many sites as possible before the holiday moratoriums. 10/20/2020: No update available. 7/15/2020: No change. 4/15/2020: OT&E was scheduled in March, but canceled due to the COVID-19 Pandemic Travel Restrictions- schedule impact unknown. 01/14/20: LNK selected as one of the key sites. 8/1/2019: STARS adaptations "Approach Runway Verification (ARV)" and "Monitor an Associated Flight Plan's Airport of Intended Landing" are in progress. Next prototype evaluation is in September 2019. Plan to deploy software build to keysite in October 2020. Once the software build is deployed nationally, enabling ARV will be determined facility by facility.
	WSL-SRMRA-05	Develop long-term plan for air traffic and cockpit technologies (with procedure development) Coordinate with FAAST Team to reach out to GA pilots recommending to pilots that	ANG-C5, AJI-14				Not adopted. Duplicate of RI SRMD Requirements numbers 12 and 16. Closed
	WSL-SRMRA-06	they incorporate the ability to visualize the runways in technologies such as ForeFlight, etc. Update information in EFBs to assist flight crews in detecting alignment problems	AFS-800				Not adopted. There are existing features that do this that are better than
Develop Safety Enhancements to address wrong surface landings via GA Joint Steering Committee (GA JSC) based on the findings and data in this document [WSL-SRMR].	WSL-SRMRA-08	General Aviation (GA) Joint Steering Committee (JSC) should review findings and data in this document [WSL SRMR], evaluate the risk of wrong surface landings, and take action as appropriate.	AVP-200 GA JSC Co Chairs: Corey Stephens and Jens Hennig, General Aviation Manufacturers Association (GAMA) GA Issue Analysis Team (IAT) Co-chairs Chad Brewer and Jens Hennig (GAMA)			Final Report	 (www.youtube.com/watch?v=MkJzOlontrU). Requirement will be worked as part of response to NSTSB Recommendation A-18-25. In progress. 4/21/2021: Corey Stephens provided to the SSG an overview of CAST and the GA JSC and how they operate. Chad Brew described a plan for specifically addressing the safety requirement. 1/15/2020: GAJSC is currently working on the accident categories that have the highest fatality rates (inflight loss of control, engine issues, CFIT and currently system component failure – non-powerplant). To address risks that fall outside the GA pareto, the GA Issues Analysis Team (GA IAT) works within ASIAS to look at issues and trends that could lead to a fatal accidents. At the request of the GAJSC, the GA IAT is currently conducting a study on GA wrong surface events to report back to the GAJSC. The study is ongoing and will be delivered to the GAJSC later this year. Depending on the results of the study, the GAJSC can form a working group to analyze wrong surface events and potentially develop mitigations. If the data in the study finds the risk is below the issues currently being worked in the GAJSC cacident studies, the GAJSC can also choose to monitor GA wrong surface events but still report the results to its members to deliver back to their communities. The GAJSC has also been briefed on the excellent work being done by AOC on the wrong surface awareness videos. The GAJSC has promoted the videos to its members so they can pass the information back to their communities. The GAJSC is also an avenue for briefings on wrong surface events. The Runway Safety office has briefed the GAJSC, which can then pass this information back to their members. The GAJSC and the Safety Analysis Team (SAT) are very effective means to get information out to the broad GA community. We welcome regular briefs to the SAT and we can work to set up additional briefs to the full GAJSC
address wrong surface landings via CAST (e.g., joint Crew Resource Management / Team Resource Management between air carriers and controllers) based on the findings and data in this document [WSL- SRMR].	WSL-SRMRA-09	should review findings and data in this document and evaluate the risk of wrong surface landings and take action as appropriate.	AVP-200				4/21/2021: There is a draft report, but it hasn't been distributed for wide review. It will continue to be modified over the next 3-4 weeks. Once it's final it will be delivered to the SSG. 10/20/2020: The report has not been released yet. The next CAST Meeting is 12/3/2020. 7/15/2020: No update available. 4/14/2020: Work is continuing. No update on the
Communicate wrong surface landings hazard to air carriers and suggest they evaluate it as part of their respective SMS processes (e.g., via SAFO).	WSL-SRMRA-10	Update SAFO 17010 or issue a new SAFO to inform part 121 air carriers of the existence of the wrong surface landings hazard and to recommend that operators address the hazard in their Part 5 SMS processes; recommend (Certificate Management Offices) CMOs to review the results with the air carriers. Using available means, inform all commercial operators of the wrong surface landings hazard for internal evaluation under their safety system; recommend CMO sreview the assessment results and mitigation actions with the operators	AFS-200, AFS-900 AFS-200, AFS-800,			Release of SAFO	target final report date. 2/15/20: Work is on-going. Target for releasing report was April. More to follow after the next In progress . 4/21/2021: SAFO has come back from legal and is in the final signature process. 1/26/2021: SAFO is still with legal. 10/20/2020: SAFO is still with AGC for legal review. 7/15/2020: Undergoing legal review on behalf of DOT so it will be a public document. It should be published by the end of the fiscal year. 4/14/2020: The SAFO is with legal for review. 2/14/20: SAFO is in formal review and comment. 1/14/20: SAFO is drafted, target release by the end of the fiscal year; This will be closed with WSL-SRMRA-10 . 4/21/2021: SAFO has come back from legal and is in the final signature process. 1/26/2021: SAFO is still with legal. 10/20/2020: SAFO is still with AGC for legal review. 7/15/2020: Undergoing legal review on behalf of DOT so it will be a public document. It should be published by the end of
		Encourage the incorporation of	AFS-900			Release of SAFO	 Ine fiscal year.4/14/2020: The SAFO is out for legal review. 2/15/20: SAFO is in formal review and comment. 1/14/20: In progress. 5/1/2019: Safety Assurance System (SAS) is the surveillance tool used by Flight Services inspectors to conduct their risk-based, data-driven oversight model. SAS is being enhanced to include a surface safety feature. Closed. 1/14/20: Advisory Circular (AC) 6198 has a lengthy section on runway incursion avoidance that includes wrong surface
Encourage GA, air carriers, and Part 142 Recurrent Training providers to incorporate scenario-based wrong surface landings and prevention into course materials / simulators. Leverage data and findings of this document [WSL- SRMR] in the development of these	WSL-SRMRA-12	Awareness of wrong surface landings into recurrent training curriculum	AFS-200, AFS-800, NBAA, AOPA				language. That AC has the guidance for conducting flight reviews and proficiency checks, and we have included in the pilot simulator animation as a resource. This will be closed with WSL-SRMRA-10. 1/26/2021: SAFO is still with legal. 10/20/2020: SAFO is still
materials/simulations, especially with regard to active listening during clearances and readbacks.	WSL-SRMRA-13	Management Offices (CMOs) regarding training for wrong surface landings events	AFS-200, NBAA, AOPA				with AGC for legal review. 7/15/2020: Undergoing legal review on behalf of DOT so it will be a public document. It should be published by the end of the fiscal year. 4/14/2020: The SAFO is with legal for review. 2/15/20: SAFO is in formal review and comment. 1/14/20: In progress.
	WSL-SRMRA-14	outreach efforts (including AOPA, ALPA, NBAA, and A4A) regarding awareness of wrong surface landings. Review data regarding wrong surface landing events to identify any correlation	AFS-800				On going activity. Coordinated through the SSG and RSC.
to address wrong surface landing factors with a focus on improving airport design to reduce potential for incorrect pilot perception of landing surface (e.g., additional requirements for offset parallel runways, rounded off taxiway corners, contrasting paint).		accordingly: AC 150/5300-13, Airport Design AC 150/5340-30, Design and Installation Details for Airport Visual Aids AC 150/5340-18, Standards for Airport Sign Systems AC 150/5340-1, Standards for Airport Markings Send information regarding past efforts	AAS-100, AAS-300				update. 05/22/19: Final review by upper management is in progress. Note: Updates to AC 150/5340-1M and AC 150/5340-18 G were published 5/10/2019 along with Engineering Brief # 100 "Holding Position Sign for Runway Approach/Departure Aeas"
Document past efforts that have successfully reduced the risk of wrong surface landings. Upload documentation in HIRMT when complete.	WSL-SRMRA-16	that have successfully reduced the risk of wrong surface landings to AFS (OPR) to upload into HIRMT Conduct a research study to investigate the event data and identify contributing	AJI-14				No past efforts have been identified that have successfully reduced WSL.
Conduct a research study to investigate the effect of lighting systems in the prevention of wrong surface landings (e.g., lighting mixture, approach light system, intensity of lighting, intensity differential between runway and taxiway lighting used in unison as seen from a distance, taxiway lights, centerline lights, etc).	WSL-SRMRA-17 WSL-SRMRA-18	factors and common causes. Study should include examination of lighting systems, airport geometry, and other factors in preventing wrong surface landing events; Perform the following analysis: -Isolate those airports where approach/airport lighting may have been a factor • Determine commonalities of the events • Airports with reoccurring events • Perform "Deep Dives" into specific events • Determine what lighting system assets are located at the airports • Examine ATC Facility Directives in the place at the time of the event	ANG-E26 AAS-100 AAS-300 ANG-E26				Report was submitted 9/30/2019 10/7/2019: Report titled "Research Study of Airport-Related Contributing Factors and Common Causes of Wrong Surface Landings" was submitted to the Office of Airports Safety & Standards (AAS) on September 30th. 8/1/2019: 21 airports were selected for the study. Preliminary findings are available. The final report is expected at the end of September. Closed.
Based on research in #8, form group to	Noto: the SPM Too	effect [results of #17 research] on FAA Order JO 7110.65 Air Traffic Control	re appropriate to be inc	ludadin roqui	romont 18		5/19/21: The RSC accepted the SSG's recommendation to close this item. 4/21/2021: The draft repoirt is almost 2 years old and didn't contain any significant findings. The SSG will make a recommendation to the RSC to close this and put efforts into something more relevant. 1/26/2021: Once COVID restrictions are eliminated, Airports will coordinate with NextGen to further the study. Steve Debban, AAS-110, just submitted an ambient light research request along with other airport specific solutions. The effort will most likev take a
FAA Order JO 7110.65. Review SAFO 17010, <i>Incorrect Airport</i> <i>Surface Approaches and Landings</i> , to include additional recommendations based on this SRM Team's discussions to help prevent wrong surface landings.	WSL-SRMRA-19	Review SAFO 17010, Incorrect Airport Surface Approaches and Landings, to include additional recommendations based on this SRM Team's discussions to help prevent wrong surface landings	AFS-200				This will be closed with WSL-SRMRA-10. 1/26/2021: SAFO is still with legal. 10/20/2020: SAFO is still with AGC for legal review. 7/15/2020: Undergoing legal review on behalf of DOT so it will be a public document. It should be published by the end of the fiscal year. 4/14/2020: The SAFO is out for legal review 2/15/20: SAFO is in formal review and comment. 10/29/19: Consolidated with WSL- SRMRA-10. 8/1/2019: June 19, 2019 Memorandum for the Record from Nick DeLotell, ASI AFS-820 to Rebecca Morris, Manager AFS-820., recommending specific, CFR updates, SAFO updates, AC updates and Flight Instructor refresher courses updates.
Work with industry organizations to raise awareness of SAFO 17010, <i>Incorrect</i> <i>Airport Surface Approaches and</i> <i>Landings</i> , and FAAST Blast (e.g., backing up visual approaches with navigational aids).	WSL-SRMRA-20	Distribute information to the CMOS regarding the updated SAFO 17010 (or new SAFO) to increase awareness of the current situation to the carriers. Provide additional FAAST Blast regarding new/updated SAFO.	AFS-200 AFS-800				 Inis item will be closed with WSL-SKNIRA-10. 10/20/2020: SAFO is still with AGC for legal review. 7/15/2020: Undergoing legal review on behalf of DOT so it will be a public document. It should be published by the end of the fiscal year. 4/14/2020: The SAFO is out for legal review. 2/15/20: SAFO is in formal review and comment. 10/29/19: Consolidated with WSL-SRMRA-10. 8/1/2019: June 19, 2019 Memorandum for the Record from Nick DeLotell, ASI AFS-820 to Rebecca Morris, Manager AFS-820., recommending specific, CFR updates, SAFO updates, AC updates and Flight Instructor refresher courses updates. In progress. 4/21/2021: The OpSpecs working group has met a couple of the progress.
Task OpSpecs working group to add guidance for non-charted visual approaches to use all available NAVAIDS (ref. C077, <i>Terminal Flight Rules</i> <i>Limitations and Provisions</i>).	WSL-SRMRA-21	Coordinate with OpSpecs working group to add guidance for non-charted visual approaches to use all available NAVAIDS (ref. C077, <i>Terminal Flight Rules</i> <i>Limitations and Provisions</i>).	AFS-200 AFS-800				Runway Safety team is organizing to move this forward. 1/26/2021: Waiting for the next OpSpec working group. 10/20/2020: Nick DeLotell had planned attend the September meeting of the OpSpecs working group, but it was canceled. The next meeting/opportunity will be in March or April 2021. 7/15/2020: Flight Standards (Nick DeLotell) is on the agenda for the Task OpSpecs Working Group in September, to brief the white paper that was developed. 1/14/2020: Chartered approaches are out there but we need to see how ATC will clear them in and there should be no runway change on the inbound. We are aware of it and looking at it, but we do not want to introduce confusion. This item will be closed in coordination with WSL-SRMA-
Update Pilot's Handbook of Aeronautical Knowledge to include wrong surface landings awareness and avoidance and propagate this information through training, testing, checking requirements.	WSL-SRMRA-22	Update Pilot's Handbook of Aeronautical Knowledge to include wrong surface landings awareness and avoidance and propagate this information through training, testing, checking requirements.	AFS-800				 10. 4/21/2021: SAFO has come back from legal and is in the final signature process. 1/26/2021: SAFO is still with legal. 10/20/2020: SAFO is still with AGC for legal review. 7/15/2020: Undergoing legal review on behalf of DOT so it will be a public document. It should be published by the end of the fiscal year. 4/14/2020: The SAFO is out for legal review 2/15/20: SAFO is in formal review and comment. 10/29/19: Consolidate with WSL-SRMA-10. 8/1/2019: Handbook last updated 2016. Need to determine what needs to be Closed.
Include "Wrong Surface operations" prevention information (once developed) in the Commercial Pilot Airplane Knowledge Test and Airline Transport Pilot (ATP) Airman Certification Standards (ACS) (note or element (knowledge/risk/skill)), to ensure applicants are examined on their ability to proactively defend against wrong surface operations.	WSL-SRMRA-23	Include "Wrong Surface operations" prevention information (once developed) in the Commercial Pilot Airplane Knowledge Test and Airline Transport Pilot (ATP) Airman Certification Standards (ACS) (note or element (knowledge/risk/skill)), to ensure applicants are examined on their ability to proactively defend against wrong surface operations.	AFS-800				 2/12/20: Closing as recommend by the SSG. 1/14/20: Recommend closing as complete. An SSG Action Item 068 was opened to conduct evaluation to determine what gaps may exist; determine how Pilot Knowledge Test can be updated to close the gaps. The analysis will be conducted after enough time has passed to collect sufficient data. 10/29/19: RSG confirmed surface safety items were added; although, none specifically address wrong surface. Additional evaluation and analysis will be needed. 8/1/2019: The ATP ACS has been adopted. Recommendation for Runway Safety Group to revalidate ATP certification questions to closeout. In progress. 1/26/2021: HF team still waiting for feedback from RSG.
Strengthen and improve ATO Human Performance Analysis in Investigations training to improve data collection for further analysis. Provide training to AVS investigators.	WSL-SRMRA-24	Strengthen and improve ATO Human Performance Analysis in Investigations training to improve data collection for further analysis by: -Providing training to AVS investigators -Creating and/or improving tools for investigations of pilot deviations -Establishing data sharing partnership between ATO and AVS (AFS) Add ATO Human Performance Training information to the AVS investigator training curriculum	AJI-15 AVP-100				10/20/2020: The Taxonomy report was completed and submitted the Runway Safety Group (RSG) for a determination as to the next steps. The WSL FTFD video has been widely distributed and is available on the FAA website. 7/15/2020: ATAC is using PDARS data to create an environmental taxonomy of WS events that categorizes them by time of day, year, weather AOC will shoot video of airport hot spots for WS that will show what different configurations look like from the pilot's perspective with guidance about what to watch out for 4/14/2020: No update available 2/12/20: The FAA Human Performance Team has delivered a report "AJI human No status. Dependent on outcomes of WSL-SRMRA-24
Create and/or improve tools for investigations of pilot deviations (e.g., incorporate human factors, develop checklists, improve taxonomy, assess possible improvement to or replacement of ATQA) to improve data collection for further analysis	WSL-SRMRA-26	Incorporate ATO Human Factors improvements/recmmendation into FAA Order 8900.1, Flight Standards Infrmation Managemet System, specifically pilot deviation investigation process and forms.	AJI-3 AFS-820				2/12/20: Closing as recommended by the SSG. 1/14/20: Recommend closing this item and opening an SSG Action Item to monitor and evaluate the impact. AFS made updates to PD report; added a number of wrong surface and runway incursion metrics too. 10/29/2019: progress continues. 8/1/2010. Flictly Overlage 10.
Establish data sharing partnership between ATO and AVS (AFS) such that events can be depicted from both ATC and pilot perspectives.	WSL-SRMRA-27	Establish agreement for a data sharing partnership between ATO, ARP, and AVS such that events can be depicted from all perspectives	AJI-155, AJI-12, AJI- 14, AAS-1, AFS-200, (SDAT?)				 8/1/2019: Flight Standards Service sponsored a Pilot Closed. 8/1/2019: Included in respective FY2020 Business Plans. Already included in AJI Business plan as 19Sa.23M: 5/1/2019: Being tracked in FAA level Pusiness plan bits
Assess airports to determine locations for possible focused regional RSATs.	WSL-SRMRA-28	Conduct special-focus RSATs on wrong surface landings Based on review of wrong surface	AJI-14				Closed. Closed.
Research and develop a "Challenge and Response" confirmation of "Right Surface Landings" between Controller and Pilot. Also, research the worth of "sanctioned" radio queries or input from aircraft "holding short."	WSL-SRMRA-29	landings data, evaluate FAA Order JO 7110.65, Air Traffic Control, to determine if an emphasis on a runway assignemnt change with a landing clearance is needed. Based on review or wrong surface landings data, evaluate FAA Order JO 7110.65, Air Traffic Control, to determine if readback of changed runway assignement with a landing clearance is needed. Increase international industry outreach efforts to raise awareness of wrong	AJI-1, AJI-3, AJI-155 AJI-1, AJI-3, AJI-155				Not adopted. AJV-8 has stated that they would not support any requirement to elicit a readback. Data shows that pilots will deviate from clearances even with a correct readback, and this change could introduce unintended rick during a critical phase of Closed.
raternational industry outreach efforts to raise awareness of wrong surface landings.	WSL-SRMRA-31	Surrace landings.	AFS-50, AJI-14				panelist on the FAA safety summit.